

BrokerCheck Report

Asa Kimo Kajihiro

CRD# 4878639

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Please be aware that fraudsters may link to BrokerCheck from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.

For more information read our [investor alert](#) on imposters.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

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Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)



Asa K. Kajihiro

CRD# 4878639

Currently employed by and registered with the following Firm(s):

IA ONEDIGITAL INVESTMENT ADVISORS
 1314 S. King Street
 Suite 1454
 Honolulu, HI 96814
 CRD# 106766
 Registered with this firm since: 12/03/2018

B TRIAD ADVISORS LLC
 934 Pumehana St
 Unit 202-B
 Honolulu, HI 96826
 CRD# 25803
 Registered with this firm since: 01/02/2019

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 6 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

- IA INDEPENDENT FINANCIAL PARTNERS**
 CRD# 125112
 TAMPA, FL
 11/2016 - 12/2018
- B LPL FINANCIAL LLC**
 CRD# 6413
 HONOLULU, HI
 09/2016 - 12/2018
- IA LPL FINANCIAL LLC**
 CRD# 6413
 FORT MILL, SC
 09/2016 - 11/2016

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 6 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **ONEDIGITAL INVESTMENT ADVISORS**
 Main Office Address: **11101 SWITZER ROAD
 SUITE 200
 OVERLAND PARK, KS 66210**
 Firm CRD#: **106766**

U.S. State/ Territory	Category	Status	Date
IA Hawaii	Investment Adviser Representative	APPROVED	12/03/2018

Branch Office Locations

This individual does not have any registered Branch Office where the individual is located.

Employment 2 of 2

Firm Name: **TRIAD ADVISORS LLC**
 Main Office Address: **5155 PEACHTREE PARKWAY
 SUITE 3220
 NORCROSS, GA 30092**
 Firm CRD#: **25803**

SRO	Category	Status	Date
B FINRA	General Securities Representative	APPROVED	01/02/2019

U.S. State/ Territory	Category	Status	Date
B California	Agent	APPROVED	01/02/2019
B Colorado	Agent	APPROVED	12/23/2021



Broker Qualifications

Employment 2 of 2, continued

U.S. State/ Territory	Category	Status	Date
B Hawaii	Agent	APPROVED	01/02/2019
B Nevada	Agent	APPROVED	01/31/2019
B South Carolina	Agent	APPROVED	01/02/2019
B Texas	Agent	APPROVED	01/02/2019

Branch Office Locations

TRIAD ADVISORS LLC

934 Pumehana St
Unit 202-B
Honolulu, HI 96826



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	01/10/2005

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	09/19/2011
B Uniform Securities Agent State Law Examination	Series 63	01/14/2005

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 11/2016 - 12/2018	INDEPENDENT FINANCIAL PARTNERS	125112	Honolulu, HI
B 09/2016 - 12/2018	LPL FINANCIAL LLC	6413	HONOLULU, HI
IA 09/2016 - 11/2016	LPL FINANCIAL LLC	6413	HONOLULU, HI
IA 10/2011 - 09/2016	EDWARD JONES	250	HONOLULU, HI
B 01/2005 - 09/2016	EDWARD JONES	250	HONOLULU, HI

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
01/2019 - Present	Triad Advisors	Registered Representative	Y	Norcross, GA, United States
12/2018 - Present	Resources Investment Advisors	Investment Adviser Representative	Y	Overland Park, KS, United States
11/2016 - 12/2018	Independent Financial Partners	Investment Advisor Representative	Y	Honolulu, HI, United States
09/2016 - 12/2018	LPL FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	HONOLULU, HI, United States
11/2004 - 09/2016	EDWARD JONES	INVESTMENT REP	Y	ST. LOUIS, MO, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1)Insurance-fixed and variable-multiple carriers;investment related;1314 S King St,Suite 1454,Honolulu,HI 96814;wealth management and



Registration and Employment History

Other Business Activities, continued

advisory services;agent;11/2016;2 hrs/month;10 min during trading;provide clients fixed and variable products to meet planning needs
2)Dba Investor Group HI;investment related;1314 S King St,Suite 1454,Honolulu,HI 96814;wealth management and advisory services;managing partner;9/2016;160 hrs/month;6.5 hrs during trading;private wealth management practice
3)Resources Investment Advisors LLC;investment related;4860 College Blvd,Suite 100,Overland Park,KS 66211;RIA;managing member;12/2018;80 hrs/month;4 hrs during trading;financial advisor services
4)RBG;investment related;1314 S King St,Suite 1454,Honolulu,HI 96814;private wealth management;managing partner;1/2019;80 hrs/month;4 hrs during trading;financial advisor services
5)Investor Group HI;investment related;1314 S. King St,Suite 1454,Honolulu, HI 96814;financial services;managing partner;9/1/2016;120 hrs/month;2.5 hrs during trading;financial planning for individuals and investment/portfolio management
6)Temple Tap LLC;1314 S King St,Suite 1454,Honolulu,HI 96814;performance coaching;partner;11/2019;16 hrs/month;1 hr during trading;creating class materials and presenting different mental toughness techniques
7)ThinkNow Financial Education;not investment related;1314 S. King St,Suite 1454,Honolulu,HI 96814;financial education;partner;8/20/2019;25 hrs/month;0 hrs during trading;creating video education content for 401(k) participants and private wealth clients and prospects
8)Smart Kala;not investment related;1314 S King St,Suite 1454,Honolulu,HI 96814;financial education;partner;12/22/2020;20 hrs/month;0 hrs during trading;marketing component of ThinkNow

End of Report



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